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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER ROCKCO P71AUS

10/590614

PRIORITY DATE CLAIMED February 26, 2004

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE February 28, 2005

TITLE OF INVENTION

PCT/GB2005/000761

IMPROVED CAN PROCESSING

APPLICANT(S) FOR DO/EO/US

Richard WALDEN and Robin Julian FERGUSON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. The US has been elected (Article 31).
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. with paragraph numbering added thereto is attached hereto (required only if not communicated by the International Bureau).
 - b. \square has been communicated by the International Bureau.
 - c. \Box is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a.

 is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. \Box are attached hereto (required only if not communicated by the International Bureau).
 - b.

 have been communicated by the International Bureau.
 - c. \square have not been made; however, the time limit for making such amendments has NOT expired.
 - d.
 have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. □ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Item 11 to 20 below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12.

 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. □ A substitute specification.
- 16. □ A power of attorney and/or change of address letter.
- 17. □ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1..821-1.825.
- 18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19.

 A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. § 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 07-2005)
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U.S. APPLICATION NO. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER **ROCKCO P71AUS** PCT/GB2005/000761 20. Other items or information: Citations (13); Form PCT/IB/308; Submission of Formal Drawings; International Search Report: Formal Drawings (2); British Search Report; International Publication (Face page Only); Abstract: Copy of Request; **Calculations PTO USE ONLY** The following fees have been submitted \$300 Examination fee (37 CFR 1.492(c)) \$200 All other situations \$200 23.

Search fee (37 CFR 1.492(b)) \$400 TOTAL of 21, 22, and 23 = \$900 □ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). listing \$ The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction thereof (round up to a whole RATE **Total Sheets** Extra Sheets number) \$ - 100 / 50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$ **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims 19-20 = \$ x \$50 \$ 3-3= x \$200 Indep. claims MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ + \$360 \$900 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$450 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$ **TOTAL NATIONAL FEE =** \$450 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ **TOTAL FEES ENCLOSED =** \$ s Amount to be refunded: \$ Amount to be charged

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a. □ A check in the amount of \$_450_ to cover the above fees is enclosed.
b. □ Please charge my Deposit Account No. <u>04-0213</u> in the amount of \$ to cover the above fees.
c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0213</u> . A duplicate copy of this sheet is enclosed.
d. □ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.
SEND ALL CORRESPONDENCE TO:
Customer Number: 020210 SIGNATURE SIGNATURE
DAVIS AND BUJOLD, P.L.L.C. 112 Pleasant Street
CONCORD, NH 03301-2931 NAME NAME
TEL: (603) 226-7490
FAX: (603) 226-7499 32,018 E-MAIL: patent@davisandbujold.com REGISTRATION NUMBER
CERTIFICATION UNDER 37 CFR 1.10
I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date <u>August 25, 2006</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV <u>881033856US</u> addressed to the: Commissioner of Patent and Trademarks, P. O. Box 1450, Alexandria, VA 22313-1450
Michael J. Bejold A Sangel
· /



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Richard WALDEN and Robin Julian FERGUSON

Filed

with an effective filing date of February 28, 2005

For

IMPROVED CAN PROCESSING

Docket

ROCKCO P71AUS

The Commissioner for Patents U.S. Patent & Trademark Office P. O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Enclosed please find two (2) sheets of new formal drawings which are to be entered in this case.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,

Michael J. Bujold, Reg. No \$2,018

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